



Privacy Statement, Tanvex BioPharma, Inc. ([www.tanvex.com](http://www.tanvex.com))

## **Privacy Statement**

Tanvex BioPharma, Inc. and its Affiliates (collectively, “Tanvex”) respects the privacy of the visitors to our website (the “Website”). Tanvex has prepared this Privacy Statement to describe Tanvex’s practices regarding the personal information Tanvex may collect from users of the Website.

### **1. Personal Information Collected on the Website and How it is Used**

When using the Website, Tanvex will collect any personally identifiable information you provide to Tanvex, such as name, home address, personal telephone number, personal e-mail address, company name, company mailing address, company e-mail address, and company telephone number, along with any other information provided to Tanvex. Tanvex may also collect information provided upon signing up for an investor presentation or when submitting an application for employment via the Website, or when contacting Tanvex via e-mail for information or assistance, including the content of any e-mails to Tanvex.

As used on this Privacy Statement, the term “personal information” also includes “personal data” as described under Section 3 of this Privacy Statement for residents of the European Union.

Tanvex may from time to time utilize website analytics services, such as Google Analytics. Some website analytics services may provide Tanvex with technical data related to Website visitors, such as ISP address, type of browser, type of device, network name, pages visited, etc.

Tanvex may use the information provided to: perform any activity required by law; contact you; provide support, products and services; operate and improve our Website, including conducting website analytics and market analysis, products and services; process employment applications (which may, to the extent permitted by, and in accordance with, applicable laws and specified in any employment application, include conducting certain appropriate background checks using third-party services) and respond to comments and questions.

The “Investors” portion of the Website and the job postings on the “Careers” portion of the Website are or may be provided by third parties that may collect, use and disclose information regarding interaction with those pages.

## 2. Disclosure of Personal Information

- a. Tanvex may share some or all of the personal information obtained with its parent company, subsidiaries, joint ventures, or other companies under a common control (“Affiliates”), in which case Tanvex will require their Affiliates to honor this Privacy Statement. Tanvex may also share the personal information obtained with certain professional advisors who will be subject to confidentiality or privilege rules, such as attorneys.
- b. Personal information obtained may be hosted on third-party databases that are used by Tanvex to operate the Website, or that are integrated into the Website, such as Amazon Web Services, Google Analytics, Automatic Data Processing, and other third-party services. These third-party services may change from time to time. You may request for additional information concerning what third-party services and platforms Tanvex uses to operate the Website.
- c. Tanvex may share some or all of the personal information obtained in connection with or during negotiation of any merger, financing, acquisition or dissolution, transaction or proceeding involving sale, transfer, divestiture, or disclosure of all or a portion of Tanvex, and any of its Affiliates’, business or assets. In the event of an insolvency, bankruptcy or receivership, personal information may also be transferred as a business asset. If another company acquires Tanvex’s company, business or assets, that company will possess the personal information collected by Tanvex and will assume the rights and obligations regarding your personal information as described in this Privacy Statement.
- d. Tanvex may also disclose personal information if Tanvex believes in good faith that such disclosure is necessary (i) in connection with any legal investigation; (ii) to comply with relevant laws or to respond to subpoenas or warrants served on Tanvex; (iii) to protect or defend the rights or property of Tanvex or users of the Website or services; or (iv) to investigate or assist in preventing any violation or potential violation of the law, or this Privacy Statement.

## 3. European Users

This section applies to all personal information collected by Tanvex via the Website from the European Union and Switzerland (“personal data”). The processing of personal data is governed by the EU’s General Data Protection Regulation (“GDPR”) and Swiss data privacy laws. The GDPR requires Tanvex to provide certain information concerning the processing of personal data.

Name of data controller and contact details	Tanvex BioPharma, Inc.  For contact information, see the section entitled “Contact Us”.
---	---

Purposes of the processing	The purposes of the processing are described in Sections 1 and 2 of this Privacy Statement.
Lawful basis for the processing	<p>Generally, Tanvex processes personal data on the basis that the processing is necessary for purposes of Tanvex’s legitimate interest in conducting its, and its Affiliate’s, business in a manner typical in the US and global biologics, biosimilars, and pharmaceutical industry, having taken into account any risks to your fundamental rights and freedoms (including your right to privacy).</p> <p>Tanvex also may process personal data on other bases permitted by the GDPR and other applicable laws, such as when the processing is necessary for Tanvex to comply with its legal obligations.</p>
The legitimate interests of the controller or third party, where applicable	Tanvex’s specific legitimate interests, such as improving the Website, providing you with support, service or products, processing and responding to any employment application, are described in Sections 1 and 2 of this Privacy Statement.
The categories of personal data concerned	The categories of personal data that Tanvex processes are described in Section 1 of this Privacy Statement.
The recipients or categories of recipients of the personal data	The potential recipients of the personal data that Tanvex collects via the Website are described in Section 2 of this Privacy Statement.
Information regarding the transfers of personal data outside of the European Economic Area (EEA)	<p>Tanvex BioPharma USA, Inc. is headquartered in the USA and the Website is hosted in the USA. Tanvex’s parent company, Tanvex BioPharma, Inc. has headquarters in Taiwan, which is also outside of the EEA. The laws of the USA and Taiwan have not been deemed by the European Commission to provide an adequate level of protection to personal data.</p> <p>When personal data is provided to Tanvex via the Website or when contacting Tanvex by e-mail, you are providing your personal data directly to the USA. Your data may also</p>

	<p>be shared with or accessible by Tanvex’s parent company in Taiwan.</p> <p>Before providing personal information to Tanvex via the Website, Tanvex requests your explicit consent to the transfer of your personal data to the USA, Taiwan and Switzerland. When e-mailing Tanvex, or when using any other method of communication set forth on this Website to communicate with Tanvex, the fact that you have chosen to e-mail Tanvex, or use any other method of communication with Tanvex, knowing that Tanvex BioPharma USA, Inc. is located in the USA and that its parent company, Tanvex BioPharma Inc., has an office in Taiwan, will be understood to constitute an express consent to the transfer of the personal data in your e-mail (including your e-mail address), or other method of communication (including telephone number via call, or text) to the USA and Taiwan.</p> <p>Regardless of the differences in US and Taiwanese privacy laws, Tanvex intends to safeguard your personal data as described in this Privacy Statement and as required in accordance with applicable law. If Tanvex transfers your personal data to a third party, Tanvex requires the third party to commit contractually to process your personal data only in ways that are consistent with this Privacy Statement.</p>
<p>The period for which the personal data will be stored, or the criteria for determining the retention period</p>	<p>How long Tanvex retains personal data varies according to the type of data in question and the purpose for which it is used. Tanvex deletes personal data within a reasonable period after Tanvex no longer needs to use it for the purpose for which it was collected or for any subsequent purpose that is compatible with the original purpose. This does not affect your right to request that Tanvex delete your personal data before the end of its retention period. Tanvex may archive personal data (which means storing it in inactive files) for a certain period prior to its final deletion, as part of Tanvex’s ordinary business continuity procedures.</p>
<p>Your rights to access, correct, restrict or delete your personal data and object to processing</p>	<p>Under the GDPR, you have the right to request access to your personal data, to have your personal data corrected, restricted or deleted, and to object to Tanvex’s processing of your personal data. Your rights may be subject to various limitations under the GDPR. If you wish to exercise any of</p>

	these rights, or if you have any concerns about our processing of your personal data, please contact Tanvex in any of the ways listed in the section “Contact Us”. The GDPR’s data portability rights may not be relevant to the kinds of processing that Tanvex does with the Website.
The right to lodge a complaint with a supervisory authority	You have the right to file a complaint concerning Tanvex’s processing of your personal data with your national (or in some countries, regional) data protection authority. The EU Commission has a list here:  <a href="http://ec.europa.eu/justice/article-29/structure/data-protection-authorities/index_en.htm">http://ec.europa.eu/justice/article-29/structure/data-protection-authorities/index_en.htm</a>
Statutory or contractual requirement or other obligation to provide any personal data	Users of the Website are under no statutory or contractual requirement or other obligation to provide personal data to Tanvex via the Website.

This Privacy Statement only applies to personal data collected via the Website. If you have provided personal data to Tanvex through some other means besides the Website (for example, in connection with a clinical study sponsored by Tanvex in Europe), you will have received a separate notice concerning your personal data. Important information regarding your privacy rights should also be contained in the informed consent form that you will need to sign before you can participate in any clinical trial. Please refer to that notice, and your informed consent, first if you have any concerns about Tanvex’s processing of your personal data as related to those purposes, as those documents will contain important information and a designated contact person for concerns – but you can always contact Tanvex (see Section 7 of this Privacy Statement).

#### 4. Functions and use of cookies

- a. Cookies are small files that are placed on your desktop, notebook or mobile device by a website you visit. From this we can, for example, recognize whether there has already been a connection between your device and our websites, or which language or other settings you prefer. Cookies may also contain personal data.
- b. By using Tanvex websites, you agree to the use of cookies.
- c. You can also visit Tanvex website without consenting to the use of cookies. This means that you can refuse such use and delete cookies at any time by making the appropriate settings on your device. This is done as follows:
  - Most browsers are pre-set to automatically accept cookies. You can change this setting by activating the setting \*do not accept cookies\* in your browser.

You can delete existing cookies at any time. You can find out how this works in detail in the instructions of your browser or device manufacturer. For information on deactivating Local Shared Objects, see the following link: <https://helpx.adobe.com/flash-player/kb/disable-local-shared-objects-flash.html>

- Like the use of cookies, their rejection or deletion is also linked to the device used and also to the browser used in each case. You must therefore reject or delete the cookies separately for each of your devices and, if you use several browsers, also for each browser.
- d. If you decide against the use of cookies, it is possible that not all functions of Tanvex websites are available to you or that individual functions are only available to you to a limited extent.
- e. Tanvex categorizes cookies as follows:
- Essential cookies (type 1). These cookies are absolutely necessary for the functions of the Website.
  - Functional cookies (type 2). These cookies facilitate the operation and improve the functions of the Website.
  - Performance cookies (type 3). These cookies collect information about how you use the Website.
  - Third-party cookies (type 4). These cookies are set by third parties, e.g. social networks such as Facebook, Twitter and Google+, whose content you can integrate via any “social plug-ins” that may be offered on the Website.

## 5. Security

Tanvex is committed to protecting the security of personal information. Tanvex uses a variety of industry-standard security technologies and procedures to help protect personal information from unauthorized access, use or disclosure. However, no method of transmission over the Internet or method of electronic storage is 100% secure. Therefore, while Tanvex uses reasonable efforts to protect your personal information, Tanvex cannot guarantee its absolute security.

## 6. Your Choices Regarding Your Information

All users may review, update, correct or delete their personal information by contacting Tanvex as described in Section 8 of this Privacy Statement. Tanvex will use commercially reasonable efforts to honor your request, and in all events, Tanvex will comply with applicable laws relating to your request. Tanvex may retain an archived copy of your records as required by law or for legitimate business purposes.

## 7. Third-Party Pages and Websites

As a convenience to visitors, the Website may contain links to a number of websites that Tanvex believes may offer useful information. The privacy policies and procedures described herein do

not apply to such websites or their content, or to any collection of personal information after clicking on links to such third-party websites.

#### 8. Contact Us:

Tanvex BioPharma USA, Inc.  
Attn: Data Protection Officer  
2030 Main Street Ste. 600  
Irvine, CA 92614  
Email: [privacy@tanvex.com](mailto:privacy@tanvex.com)

#### 9. Changes to this Privacy Statement

This Privacy Statement may be amended from time to time for any reason. Tanvex will notify you of any changes to our Privacy Statement by posting a new Privacy Statement on this page as indicated by changing the “Last Updated” date below. You should consult this Privacy Statement regularly for any changes.

Effective Date: September, 2019